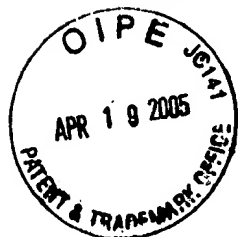


04-21-05

RECEIPT IFW

Application No.: 09/632,944  
Req. for Corrected Filing Receipt, submitted 4/19/05

Customer Number: 22,852  
Attorney Docket No.: 7451.0004-02  
Intertrust Ref. No.: IT-6.1.1(US)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Confirmation No.: 2078
	)
Karl L. Ginter et al.	) Group Art Unit: 2132
	)
Application No.: 09/632,944	) Examiner: Justin T. DARROW
	)
Filed: August 4, 2000	)
	)
For: TRUSTED AND SECURE	)
TECHNIQUES, SYSTEMS AND	)
METHODS FOR ITEM DELIVERY AND	)
EXECUTION	)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

We enclose a copy of an official Filing Receipt that was received from the U.S. Patent and Trademark Office. The Continuing Data as Claimed by Applicant was found to be incorrect, and should therefore read as follows:

**This application is a CON of 09/221,479 12/28/1998 PAT 6,185,683  
which is a CON of 08/699,711 08/12/1996 ABN  
which is a CIP of 08/388,107 02/13/1995 ABN**

A copy of the Filing Receipt with the requested change in ink is attached. It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.

Application No.: 09/632,944  
Req. for Corrected Filing Receipt, submitted 4/29/05

PATENT  
Customer Number: 22,852  
Attorney Docket No.: 7451.0004-02  
Intertrust Ref. No.: IT-6.1.1(US)


Applicants respectfully submit that the relationship between ancestor Applications Nos. 08/699,711 and 08/388,107 had always been stated as "continuation-in-part." Please see Paragraph No. 8 on page 2 of the Continuation Application Transmittal Letter filed in this case, which is attached hereto as Exhibit A.

It is respectfully requested that a corrected Filing Receipt be issued as soon as possible.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Date: April 19, 2005

By:   
Andrew B. Schwaab  
Reg. No. 38,611

Finnegan Henderson Farabow  
Garrett & Dunner L.L.P.  
901 New York Avenue, NW  
Washington, DC 20001-4413  
Attorney Direct Telephone: 650.849.6643

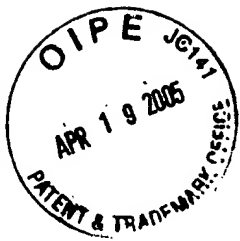
**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No.: EV 671823576 US

I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below.

Date: April 19, 2005

Signed:   
Linda Phillips



PATENT  
Atty. Dkt. No.: 7451.0004-02  
InterTrust Ref No.: IT 6.1.1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application: 09/221,479

Filed: December 28, 1998

Of: Karl L. GINTER, et al.

For: TRUSTED AND SECURE TECHNIQUES, SYSTEMS AND METHODS FOR ITEM  
DELIVERY AND EXECUTION

Group Art Unit: Unassigned

Examiner: Unassigned

SIR:

This is a request for filing a

■ Continuation □ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/221,479, filed December 28, 1998, which is a continuation of application Serial No. 08/699,711, filed August 12, 1996, now abandoned, which is a continuation-in-part of application Serial No. 08/388,107, filed February 13, 1995, now abandoned.

1. ■ Enclosed is a complete copy of the prior application as originally filed including the drawings but without the oath or Declaration. I hereby verify that the attached papers are a true copy of prior application Serial No. 08/699,711, as originally filed on August 12, 1996.
2. □ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ■ Cancel Claims 1-6.
4. ■ A Preliminary Amendment is enclosed.
5. ■ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

LAW OFFICES

INNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
TANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-6600

For	:	Number Filed	:	Number Extra	:	Rate	:	Basic Fee	\$ 690.00
Total	:		:		:		:		
Claims	:	504	:	-20 =	:	x\$ 18.00=	:		\$ 8,712.00
Independent	:		:		:		:		
Claims	:	4	:	- 3 =	:	1 x\$ 78.00=	:		\$ 78.00
Multiple Dependent Claim(s) (if applicable)						+\$260.00=			
Total						=			\$ 9,480.00
Reduction by 1/2 for									
filing by small entity :						-			
TOTAL FILING FEE						=			\$ 9,480.00

6. ☒ A check in the amount of \$9,480.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:  
  
--This application is a continuation of commonly assigned copending application Serial No. 09/221,479, filed December 28, 1998, which is a continuation of application Serial No. 08/699,711, filed August 12, 1996, now abandoned, which is a continuation-in-part of application Serial No. 08/388,107, filed February 13, 1995, now abandoned -- all of which are incorporated herein by reference.
9. ☒ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: InterTrust Technologies Corporation.
11. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. § 119. A certified copy  
  
☐ is enclosed or ☐ is on file in the prior application.

LAW OFFICES

NNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
ANFORD RESEARCH PARK  
700 HANSEN WAY  
ALTO ALTO, CALIF. 94304  
650-849-6600

12. ☐ A verified statement claiming small entity status  
☐ is enclosed or ☐ is on file in the prior application.
13. ☐ A copy of a new power of attorney is enclosed. This power of attorney replaces the power of attorney filed in the prior application.
14. ☒ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial No. 09/221,479, filed December 28, 1998, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

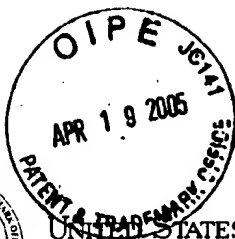
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Date: August 3, 2000

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

LAW OFFICES

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650-849-6600



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/632,944	08/04/2000	2767	9610	7451.0004-02	203	504	4

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT &  
DUNNER LLP  
1300 I STREET, NW  
WASHINGTON, DC 20005

## FILING RECEIPT



\*OC000000005613388\*

Date Mailed: 02/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Karl L. Ginter, Beltsville, MD ;  
Victor H. Shear, Bethesda, MD ;  
Francis J. Spahn, El Cerrito, CA ;  
David M. Van Wie, Eugene, OR ;  
Robert P. Weber, Menlo Park, CA ;

RECEIVED

FEB 16 2001

FINNEGAN, HENDERSON,  
FARABOW, GARRETT & DUNNER, L.L.P.

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/221,479 12/28/1998 PAT 6,185,683  
WHICH IS A CON OF 08/699,711 08/12/1996 ABN  
WHICH IS A CON OF 08/388,107 02/13/1995 ABN



## Foreign Applications

If Required, Foreign Filing License Granted 09/28/2000

## Title

Trusted and secure techniques, systems and methods for item delivery and execution

## Preliminary Class

713

Data entry by : DADE JR, THEODORE

Team : OIPE

Date: 02/14/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231